

Receipt #4

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/295,690	
	Filing Date	April 21, 1999	
	First Named Inventor	Jerome A. Mouton, Jr.	
	Group Art Unit	2771	
	Examiner Name	Not Assigned	
Total Number of Pages in This Submission	7	Attorney Docket Number	081862.P122

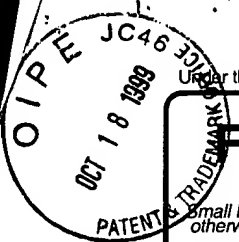
ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <div>Request for Correction of Filing Receipt (& dupl.) Copy of Filing Receipt Return Postcard</div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	George G. C. Tseng, Reg. No. 41,355 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
Signature	
Date	10/11/99

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 10/12/99			
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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



FEE TRANSMITTAL		Complete if Known	
<i>Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See 37 C.F.R. §§ 1.28 and 1.28.</i>		Application Number	09/295,690
		Filing Date	04/21/99
		First Named Inventor	Jerome A. Mouton, Jr., et al.
		Examiner Name	Not Assigned
		Group Art Unit	2771
TOTAL AMOUNT OF PAYMENT (\$)		Attorney Docket Number	081862.P122

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																																				
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number: 02-2666</p> <p>Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)</p> <p>2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>	<p>3. ADDITIONAL FEE</p> <table border="1"><thead><tr><th>Large Entity Fee Code</th><th>Small Entity Fee Code</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105</td><td>130 205</td><td>65 Surcharge - late filing fee or oath</td><td></td></tr><tr><td>127</td><td>50 227</td><td>25 Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr><tr><td>139</td><td>130 139</td><td>130 Non-English specification</td><td></td></tr><tr><td>147</td><td>2,520 147</td><td>2,520 For filing a request for reexamination</td><td></td></tr><tr><td>112</td><td>920 112</td><td>920 Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>113</td><td>1,840 113</td><td>1,840 Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>115</td><td>110 215</td><td>55 Extension for response within first month</td><td></td></tr><tr><td>116</td><td>380 216</td><td>190 Extension for response within second month</td><td></td></tr><tr><td>117</td><td>870 217</td><td>435 Extension for response within third month</td><td></td></tr><tr><td>118</td><td>1,360 218</td><td>680 Extension for response within fourth month</td><td></td></tr><tr><td>128</td><td>1,850 228</td><td>925 Extension for response within fifth month</td><td></td></tr><tr><td>119</td><td>300 219</td><td>150 Notice of Appeal</td><td></td></tr><tr><td>120</td><td>300 220</td><td>150 Filing a brief in support of an appeal</td><td></td></tr><tr><td>121</td><td>260 221</td><td>130 Request for oral hearing</td><td></td></tr><tr><td>138</td><td>1,360 138</td><td>1,360 Petition to institute a public use proceeding</td><td></td></tr><tr><td>140</td><td>110 240</td><td>55 Petition to revive - unavoidably</td><td></td></tr><tr><td>141</td><td>1,210 241</td><td>605 Petition to revive - unintentionally</td><td></td></tr><tr><td>142</td><td>1,210 242</td><td>605 Utility issue fee (or reissue)</td><td></td></tr><tr><td>143</td><td>430 243</td><td>215 Design issue fee</td><td></td></tr><tr><td>144</td><td>580 244</td><td>290 Plant issue fee</td><td></td></tr><tr><td>122</td><td>130 122</td><td>130 Petitions to the Commissioner</td><td></td></tr><tr><td>123</td><td>50 123</td><td>50 Petitions related to provisional applications</td><td></td></tr><tr><td>126</td><td>240 126</td><td>240 Submission of Information Disclosure Stmt</td><td></td></tr><tr><td>581</td><td>40 581</td><td>40 Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>146</td><td>760 246</td><td>380 Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr><tr><td>149</td><td>760 249</td><td>380 For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr><tr><td colspan="4">Other fee (specify) _____</td></tr><tr><td colspan="4">Other fee (specify) _____</td></tr></tbody></table>	Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid	105	130 205	65 Surcharge - late filing fee or oath		127	50 227	25 Surcharge - late provisional filing fee or cover sheet.		139	130 139	130 Non-English specification		147	2,520 147	2,520 For filing a request for reexamination		112	920 112	920 Requesting publication of SIR prior to Examiner action		113	1,840 113	1,840 Requesting publication of SIR after Examiner action		115	110 215	55 Extension for response within first month		116	380 216	190 Extension for response within second month		117	870 217	435 Extension for response within third month		118	1,360 218	680 Extension for response within fourth month		128	1,850 228	925 Extension for response within fifth month		119	300 219	150 Notice of Appeal		120	300 220	150 Filing a brief in support of an appeal		121	260 221	130 Request for oral hearing		138	1,360 138	1,360 Petition to institute a public use proceeding		140	110 240	55 Petition to revive - unavoidably		141	1,210 241	605 Petition to revive - unintentionally		142	1,210 242	605 Utility issue fee (or reissue)		143	430 243	215 Design issue fee		144	580 244	290 Plant issue fee		122	130 122	130 Petitions to the Commissioner		123	50 123	50 Petitions related to provisional applications		126	240 126	240 Submission of Information Disclosure Stmt		581	40 581	40 Recording each patent assignment per property (times number of properties)		146	760 246	380 Filing a submission after final rejection (37 CFR 1.129(a))		149	760 249	380 For each additional invention to be examined (37 CFR 1.129(b))		Other fee (specify) _____				Other fee (specify) _____			
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SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	George G. C. Tseng; Reg. No. 41.355	Reg. Number	
Signature		Deposit Account User ID	02-2666
Date	10/12/99		



Our Ref. 081862.P122

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:)
Jerome A. Mouton, Jr. et al.)
Serial No.: 09/295,690) Art Unit: 2711
Filed: April 21, 1999) Examiner: Not Assigned
For: METHOD AND APPARATUS FOR UPGRADING)
A DATABASE IN A REDUNDANT)
ENVIRONMENT BY RELEASE CHAINING)

REQUEST FOR CORRECTION OF FILING RECEIPT

Assistant Commissioner
for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

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Dear Sir:

We have received the official Filing Receipt for the above-identified U.S. Patent Application (copy enclosed). Upon our review, we have discovered that the title and the status are incorrect. The title should be corrected to read as follows:

"METHOD AND APPARATUS FOR UPGRADING A DATABASE IN A REDUNDANT ENVIRONMENT BY RELEASE CHAINING"

The status of this application is LARGE ENTITY.

Please correct your records to reflect the correct title and status, and send us a corrected filing receipt.

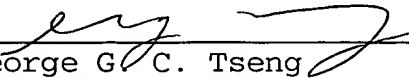
If there are any additional charges, please charge them to Account No. 02-2666. A duplicate copy of this letter is enclosed.

Please direct all communications regarding this matter to the undersigned.

Respectfully submitted,

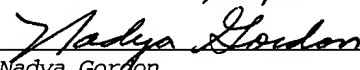
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 10/11/99

By: 
George G. C. Tseng
Reg. No. 41,355

12400 Wilshire Boulevard
Seventh Floor
Los Angeles, California 90025
(310) 207-3800

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/295,690	04/21/99	2771	\$890.00	081862.P122	8	12	3

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP
12400 WILSHIRE BOULEVARD SEVENTH FLOOR
LOS ANGELES CA 90025

RECEIVED CISCO TECHNOLOGIES INC.
JUL 29 1999

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
LOS ANGELES

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) JEROME A MOUTON JR., PALO ALTO, CA; ALEX V TRUONG,
SANTA CLARA, CA; WILLIAM P BUCKLEY, SAN JOSE, CA.

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 05/17/99 ** SMALL ENTITY **
TITLE
METHOD AND APPARATUS FOR UNGRADING A DATABASE IN A REDUNDANT
ENVIRONMENT BY RELEASE CHAINING

PRELIMINARY CLASS: 707

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STATUS DB-LA

DATA ENTRY BY: HINES, BRENDA

TEAM: 06 DATE: 07/26/99

(See reverse for new important information)

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